

# **Research Article**



# Biogeography and species delimitation of the rheophilic suckermouth catfish genus *Pseudolithoxus* (Siluriformes: Loricariidae), with the description of a new species from the Brazilian Amazon

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The rapids-dwelling suckermouth catfish genus *Pseudolithoxus* was previously only known from the Guiana-Shield-draining Orinoco and Casiquiare river systems of Colombia and Venezuela, but new records have expanded this range considerably further into the Amazon basin of Brazil, and include occurrences from rivers draining the northern Brazilian Shield. These highly disjunct records are now placed in an evolutionary and phylogeographic context using a dated species tree constructed from mitochondrial (*Cytb*) and nuclear (*RAGI*) gene sequence data. Due to mito-nuclear discordance, we also delimit the putative species using statistical coalescent models and a range of additional metrics. We infer that at least two species of *Pseudolithoxus* are present in the Amazon basin: *P. nicoi*, previously only recorded from the río Casiquiare, but now also reported from the upper rio Negro, and a new species, which we describe herein from south-draining Guiana Shield and north-draining Brazilian Shield. Our data reject a simple model of Miocene vicariance in the group following uplift of the Uaupés Arch separating the Orinoco and Amazon systems, and instead suggest more complex dispersal scenarios through palaeo-connections in the Pliocene and also via the contemporary rio Negro and rio Madeira in the late Pleistocene.

www.zoobank.org/urn:lsid:zoobank.org:pub:46A6BEC1-1A1B-4244-80-A6-FD9CF8DA0384.

Key words: aquatic, biodiversity, ichthyology, Neotropics, phylogeny, rio Negro, taxonomy

#### Introduction

Field excursions to the rio Uatumã and rio Nhamundá, two Amazon-draining clearwater rivers of the Guiana Shield, and to the upper rio Negro near São Gabriel da Cachoeira have revealed new records of the rheophilic suckermouth catfish genus *Pseudolithoxus* Isbrücker & Werner in Isbrücker, Seidel, Michels, Schraml & Werner (2001), in the territory of Brazil. These findings are of particular interest, because this genus was previously reported only from the río Orinoco of Venezuela and Colombia, its right-bank tributaries draining the western Guiana Shield of Venezuela, and the río Casiquiare

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(Armbruster & Provenzano, 2000; Lujan & Birindelli, 2011), and was therefore not known from the Amazon system. Subsequent examination of museum material revealed a further collection of *Pseudolithoxus* from the rio Guariba, a Brazilian Shield tributary of the rio Aripuana (rio Madeira basin). Given the disjunct distribution of these new records and the interesting biogeographic implications, here we aim to place these new records in an evolutionary context using a dated molecular phylogeny and Bayesian species delimitation. We also use this opportunity to provide a name and description for the putatively new species from the Guiana and Brazilian shields.

The genus *Pseudolithoxus* was erected to accommodate four former *Lasiancistrus* species of the *L. anthrax* species group described by Armbruster and Provenzano (2000): *Pseudolithoxus anthrax*, *P. dumus*, *P. tigris*, and *P. nicoi*,

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all from Amazonas State, Venezuela, río Orinoco drainage. More recently, Lujan and Birindelli (2011) described a fifth species, P. kelsorum, also from the upper Orinoco in Venezuela. In the original description of the L. anthrax species group, Armbruster and Provenzano (2000) called attention to their distinctive features: extreme elongation of flexible odontodes on pectoral-fin spines, and presence of hypertrophied odontodes along the border of the snout of males and females. Armbruster and Provenzano (2000) also highlighted the absence of flexible, whisker-like cheek plate odontodes as a major feature distinguishing the L. anthrax species group from all other Lasiancistrus species. In the most recent osteological phylogenetic analysis including Pseudolithoxus, Armbruster (2008) recovered P. anthrax and P. dumus - the two Pseudolithoxus representatives in his analysis - as sister taxa, a grouping in turn sister to Lasiancistrus and Ancistrus. In that study, P. anthrax and P. dumus shared three homoplastic synapomorphies: presence of a posteromedial invagination of ceratobranchial 5, presence of a dorsomedial process on the pterotic-supracleithrum, and three to eight vertebrae between the first normal neural spine behind the dorsal fin and the first spine under the preadipose plates.

Molecular phylogenetic research by Covain and Fisch-Muller (2012) and Lujan, Meza-Vargas, Astudillo-Clavijo, Barriga-Salazar, and López-Fernández (2015) found support for the same intergeneric relationships found by Armbruster (2008). One exception that Lujan, Meza-Vargas et al. (2015) found to Armbruster (2008) was that the monotypic genus and species Soromonichthys stearleyi Lujan and Armbruster (2011b) was nested within Pseudolithoxus in a position sister to Pseudolithoxus nicoi. Soromonichthys stearleyi is narrowly endemic to Caño Soromoni, a small river draining the south-western slope of Cerro Duida, a large Guiana Shield tepui near the bifurcation between the upper Orinoco and Casiquiare rivers. Armbruster (2008) had found Soromonichthys (then referred to as 'New Genus 2') to be sister to a clade comprising Lithoxus and Exastilithoxus from the Guiana Shield, and Cordylancistrus, Leptoancistrus, Dolichancistrus, and Chaetostoma from the Andes Mountains; however, few of the morphology-based relationships within this 'Trans-Highland Clade' (sensu Lujan & Armbruster, 2011, 2011a, 2011b) have been supported by subsequent molecular phylogenetic analyses (Lujan, Armbruster, Lovejoy, & López-Fernández, 2015; Lujan, Meza-Vargas et al., 2015). Validity of Soromonichthys as a distinct genus is therefore in doubt, and evidence for S. stearleyi being a distinctive member of *Pseudolithoxus* is growing.

Since 2000, many new loricariid taxa have been described from rivers draining the Guiana Shield in Venezuela and Guyana (Armbruster, 2004; Armbruster & Taphorn, 2008; Lujan & Armbruster, 2011b; Lujan, Arce, & Armbruster, 2009). Of the five *Pseudolithoxus* described from this region, three (*P. anthrax*, *P. dumus*,

and *P. tigris*) are sympatric in the Santa Barbara rapids at the confluence between the upper Orinoco and Ventuari rivers. Of these, the larger-bodied sister-species *P. anthrax* and *P. dumus* have relatively broad but not entirely sympatric distributions, with both species ranging further up the Orinoco and Ventuari rivers, *P. dumus* alone ranging further down the Orinoco main channel to just downstream of the Atures rapids, and *P. anthrax* alone occupying more eastern tributaries of the Orinoco (e.g., Caura, Aro rivers). The smaller-bodied sister species *P. tigris* and *P. kelsorum* are allopatrically distributed within the main channel of the Orinoco between the Maipures and Santa Barbara rapids, with *P. tigris* restricted to the confluence of the Orinoco and Ventuari rivers and *P. kelsorum* only occurring in the río Orinoco further downstream.

The fifth species, P. nicoi, is similar in body size to P. anthrax and P. dumus, and until now was only known from the río Casiquiare, where it is allopatric to the other described congeners from the Orinoco. The Casiquiare is a south-westerly flowing bifurcation of the río Orinoco that is in the process of capturing a large portion of the Orinoco headwaters into the rio Negro system. As such, the Casiquiare acts as a potential corridor for faunal exchange between the Orinoco and rio Negro (Lujan & Birindelli, 2011; Winemiller & Willis, 2011; Winemiller, López-Fernández, Taphorn, Nico, & Duque, 2008). With Pseudolithoxus nicoi present in the Casiquiare and new records of *Pseudolithoxus* from the upper rio Negro and Brazilian Amazon, the genus Pseudolithoxus becomes a valuable system in which to investigate the dispersal routes, vicariant events, and zoogeographic filters of the region (Winemiller et al., 2008).

Due to tectonically driven uplift of the Uaupés Arch in the Late Miocene ( $\sim$ 8–11 Ma), the Orinoco and Amazon basins became hydrologically separated (Albert & Reis, 2011; Lundberg et al., 1998; Wesselingh & Hoorn, 2011), thus providing an opportunity for cladogenesis within fish lineages that had been contiguously distributed across these basins (Lovejoy, Willis, & Albert, 2010). Were this vicariant event to have affected Pseudolithoxus, the simplest model would assume the Amazon and Negro/Casiquiare species would be monophyletic and sister taxon to the Orinoco species, with a most recent common ancestor dateable to the Late Miocene. Although it is not known exactly when the Casiquiare corridor breached the uplifted Uaupés Arch (Willis et al., 2010; Winemiller & Willis, 2011), a divergence date more recent than the Miocene would likely support a dispersal hypothesis via this corridor, or other historical connections.

# Materials and methods Morphology

Measurements were made with digital callipers to the nearest 0.1 mm. All measurements and counts were taken

from the left side, unless the structure was missing or damaged. Measurements and plate counts followed those proposed by Fisch-Muller, Mazzoni, and Weber (2001) and Bifi, Pavanelli, and Zawadzki (2009). Specimens were cleared and counterstained (c&s) for bone and cartilage following the procedures of Taylor and Van Dyke (1985). Vertebral counts include Weberian (five) and ural (one) complexes. In the description section, fin rays and other counts are followed by the number of individuals with each count between parentheses. Nomenclature of the dermal plates in lateral series follows Schaefer (1997). Museum abbreviations: ANSP, Academy of Natural Sciences of Philadelphia; INPA, Instituto Nacional de Pesquisas da Amazônia, Manaus; MPEG, Museu Paraense Emílio Goeldi, Belém; MZUEL, Museu de Zoologia da Universidade Estadual de Londrina, Londrina; MZUSP, Museu de Zoologia da Universidade de São Paulo, São Paulo: and NUP. Núcleo de Pesquisas em Limnologia. Ictiologia e Aquicultura da Universidade Estadual de Maringá, Maringá.

# Molecular methods Phylogeny and dated species tree

To construct a phylogeny and perform species delimitation we chose two frequently used and reliable markers for fish phylogenetics, viz. mitochondrial protein-coding gene cytochrome b (Cytb), and exon three of the nuclear protein-coding recombination-activating gene 1 (RAGI). We accessed all sequences reported by Lujan, Armbruster et al. (2015) for these genes from Pseudolithoxus as well as two outgroup genera (Ancistrus and Lasiancistrus). Soromonichthys was not included (see Discussion). We used the primer combinations and PCR cycling steps from Lujan, Armbruster et al. (2015), specifically CytbFa/ CytbRa and RAG1Fa/RAG1R1186. Resulting chromatograms were assembled in Geneious v7 (Kearse et al., 2012), aligned manually using translated amino acid sequences, and then checked for consistency using exploratory maximum likelihood gene trees in Phangorn v2.04 (Schliep, 2011). Particular care was taken to correctly call heterozygous sites in the *RAG1* chromatograms.

A dated species tree for *Pseudolithoxus* and the two outgroup genera was generated using the multispecies-coalescent software package \*Beast v1.8.4 (Heled & Drummond, 2010). All biallelic nuclear *RAG1* sequences were first phased into haplotypes using the software Phase v2.1 (Stephens & Donnelly, 2003). Data were partitioned by gene, with independent tree and clock models for each. Substitution models were chosen using jModelTest2 (Darriba, Taboada, Doallo, & Posada, 2012), resulting in the HKY+ $\Gamma$  model for the *Cytb* partition, and K80 (= K2P) model for the *RAG1* partition. Investigations into further partitioning (i.e., at the codon level) proved unsuccessful,

as parameter estimates from \*Beast were often either poorly estimated (low effective sample sizes) or imprecise (wide and unrealistic HPDs); this is most likely due to the paucity of information in these smaller subsets. Individuals were assigned to species based on either their morphological identification, or in the case of P. nicoi and the new species of Pseudolithoxus, based on the results of the species delimitation step (below). Initial tests using an uncorrelated relaxed clock confirmed that the strict molecular clock could not be rejected for our data (HPD of 'ucld.stdev' parameter encompassing zero, i.e., very little rate heterogeneity). The species tree was dated using a geological calibration point; here we chose the divergence between the trans-Andean Ancistrus clementinae and the remaining cis-Andean members of the genus to represent a vicariant event caused by tectonic uplift of the Eastern Cordillera in the Upper Miocene at around 11.8 to 13.5 Ma (Albert & Reis, 2011). This calibration point was accommodated by a lognormal calibration density of mean 12.65 (real space), and standard deviation 0.035 for the basal node in Ancistrus. The analysis was run eight times from random starting trees for 60 million generations. Run convergence and parameter effective sample sizes were assessed using Tracer v1.6 (Rambaut, Drummond, & Suchard, 2014). After a burnin of 200 trees (= 17%), 1,000 trees were collected from each run, resulting in a total of 8,000 trees which were summarized into a maximum clade credibility species tree in TreeAnnotator v1.8.4 (Rambaut & Drummond, 2016). All command and input files for these analyses are available as supporting information at https://github.com/legalLab/publications. We made use of the Beagle library (Ayres et al., 2012) to increase computational efficiency.

#### **Species delimitation**

To obtain statistical support for species delimitations from the molecular data, we used a Bayes factor analysis based on marginal likelihoods of each coalescent model (Fujita, Burbrink, Mcguire, Leache, & Moritz, 2012; Grummer, Bryson, & Reeder, 2014). The dataset and settings used were the same as for the species tree analysis, but retaining only the *Pseudolithoxus* species. Four delimitation models for the P. nicoi clade (P. nicoi and the new Amazon species described herein) were proposed (Table 1), comprising the four most likely scenarios based on initial phylogenetic analyses and geographic localities. We ran each model three times for 100 million generations from random starting trees, and afterwards ran 100 stepping stone (Xie, Lewis, Fan, Kuo, & Chen, 2011) path steps of length 1,000,000 to obtain marginal likelihood values. We also calculated the number of diagnostic sites for each putative species using the nucDiag function in Spider v1.3 (Brown et al., 2012), as well as their genealogical sorting indices (genealogicalSorting v0.92; Cummings, Neel, &

<b>Table 1.</b> Bayes factor species delimitation results for the <i>Pseudolithoxus nicoi</i> clade using stepping stone sampling. Marginal
likelihoods were calculated from three independent MCMC chains. Taxon abbreviations as follows: NEG = P. nicoi 'upper Negro';
MIU = P. $nicoi$ 'Miuá'; and $KIN = P$ . $kinja$ .

Model	Delimitation	No. spp.	Marginal likelihood	2lnBf	Model rank	
m1	NEG,MIU,KIN	3 spp.	-4147.6880537144	0	1	
<i>m</i> 3	NEG+MIU,KIN	2 spp.	-4154.0681104456	12.7601134624	2	
m2	NEG+KIN,MIU	2 spp.	-4167.0680687074	38.7600299859	3	
m0	NEG+MIU+KIN	1 sp.	-4179.9027053393	64.4293032497	4	

Shaw, 2008) and probabilities of reciprocal monophyly (Rosenberg, 2007) using Spider. For these analyses, maximum likelihood trees (Phangorn, previous model settings) of unphased sequences were used.

#### Results

*Pseudolithoxus kinja* sp. nov. Bifi, de Oliveira, Rapp Py-Daniel & Collins

(Fig. 1 and Fig. 2)

**HOLOTYPE**: INPA 3220, 148.0 mm SL, Brazil, Amazonas, São Sebastião do Uatumã, rio Uatumã, Santa Luzia de Jacarequara, 2°26′46.7″S, 58°16′31.9″W, 19 Sep 1985, M. Jegu.

PARATYPES: All from Brazil, Amazonas State: ANSP 201028, 1, 112.9 mm SL; INPA 43889, 14 (13 alc, 63.6-146.9 mm SL and 1 c&s, 99.5 mm SL); MZUSP 120738, 1, 101.2 mm SL; NUP 18761, 1, 98.3 mm SL; Nhamundá, rio Nhamundá, acima da cidade de Nhamundá, abaixo da lagoa Sete Ilhas, 0°43′04″S, 57°22′07″W, 6 Nov 2013, V. N. Machado, E.D. Ribeiro & R.A. Collins. INPA 5370, 1, 73.2 mm SL, Presidente Figueiredo, rio Uatumã, Pé da barragem de Balbina, 1°55′2.6″S, 59°28′23.8″W, 24 Oct 1987, J. Zuanon. INPA 5626, 2, 73.3-91.2 mm SL, Presidente Figueiredo, rio Uatumã, Pé da barragem de Balbina, 1°55′2.6″S, 59°28′23.8″W, 24 Oct 1987, J. Zuanon et al. INPA 16270, 6 (5 alc, 97.5-153.1 mm SL and 1 skl, 146.8 mm SL); MPEG 34202, 1, 131.4 mm SL; Presidente Figueiredo, rio Uatumã, cachoeira do Miriti, corredeiras com fundo pedregoso, 2°1'44.2"S, 59°26'23.5"W, 13 Oct 1987, R. Leite & C. de Deus. INPA 16271, 3, 108.3-126.4 mm SL, Presidente Figueiredo, rio Uatumã, cachoeira Morena, pedral com corredeira, 2°7′27.4″S, 59°19′43.3″W, 7 Oct 1987, E. Ferreira, R. Leite & S. Kullander. INPA 16272, 6 (5 alc, 77.3-111.2 mm SL and 1 c&s, 73.3 mm SL), Presidente Figueiredo, rio Uatumã, ilha de Nazaré, pedral do jacaré, 1°32′14.6″S, 60°0'48.4"W, 16 Sep 1985, M. Jegu. INPA 16275, 1, 111.6 mm SL, Presidente Figueiredo, rio Uatumã, Balbina abaixo da ponte, 1°55′43.9″S, 59°28′43.7″W, 1987, Equipe de Ictiologia do INPA. INPA 25939, 1, 109.8 mm SL, Presidente Figueiredo, rio Uatumã, pé da barragem de Balbina, 1°55′2.6″S, 59°28′23.8″W, 26 Oct 1987, J. Zuanon *et al.* INPA 46102, 1, 115.6 mm SL; MZUEL 16865, 1, 99.0 mm SL; Presidente Figueiredo, rio Pitinga, corredeiras 40 Ilhas, 0°53′16″S, 59°34′28″W, drenagem do rio Uatumã, 19 Sep 2014, J.L. Birindelli, L. Rapp Py-Daniel, F. Jerep, V.N. Machado.

NONTYPES: All from Brazil, Amazonas State: INPA 14786, 1, 64.3 mm SL, Presidente Figueiredo, rio Uatumã, ilha de Nazaré, corredeira do Jacaré, 1°32′14.6″S, 60°0′48.4″W, 1 Oct 1987, J. Zuanon. INPA 15656, 2, 45.5–49.0 mm SL, Presidente Figueiredo, rio Uatumã, poça embaixo da ponte Balbina, 1°55′43.9″S, 59°28′43.7″W, 28 Oct 1987, equipe de Ictiologia do INPA. INPA 33645, 1, 100.1 mm SL, Apuí, Calha do Guariba, Reserva extrativista do Guariba, rio Madeira/Aripuanã basin, 8°45′03″S, 60°26′10″W, 7 Nov 2008, W. Pedroza, W. Ohara, F.R.V. Ribeiro & T.F. Teixeira. INPA 43888, 1, 103.7 mm SL, São Sebastião do Uatumã, rio Uatumã, Santa Luzia de Jacarequara, 2°26′46.7″S, 58°16′31.9″W, 30 Jun 1985, M. Jegu.

# COMPARATIVE MATERIAL EXAMINED:

See Appendix.

**DIAGNOSIS**: *Pseudolithoxus kinja* is diagnosed from all congeners by having a colour pattern of large pale spots on a dark background (vs. pale bands on a dark background in *P. kelsorum* and *P. tigris*; small pale dots on a dark background in *P. anthrax* and *P. nicoi*; dark spots on a lighter background in *P. dumus*). Furthermore, *Pseudolithoxus kinja* can be diagnosed from *P. anthrax* and *P. nicoi* by having dark bands on the caudal fin (vs. absence); and cleithral width 30.0–33.5% SL (vs. 27.2–30.5% in *P. anthrax* and 27.0–28.6% in *P. nicoi*; data from Armbruster & Provenzano, 2000).

**DESCRIPTION**: General aspect of *Pseudolithoxus kinja* shown in Fig. 1 and Fig. 2. Morphometric data and counts in Supplementary Table S1. Forty-six specimens were examined; largest specimen reached 171.1 mm SL. Body rounded anteriorly in dorsal view, gradually narrowing from cleithrum to caudal-fin origin. Greatest body width at cleithrum. Body dorsoventrally flattened with body

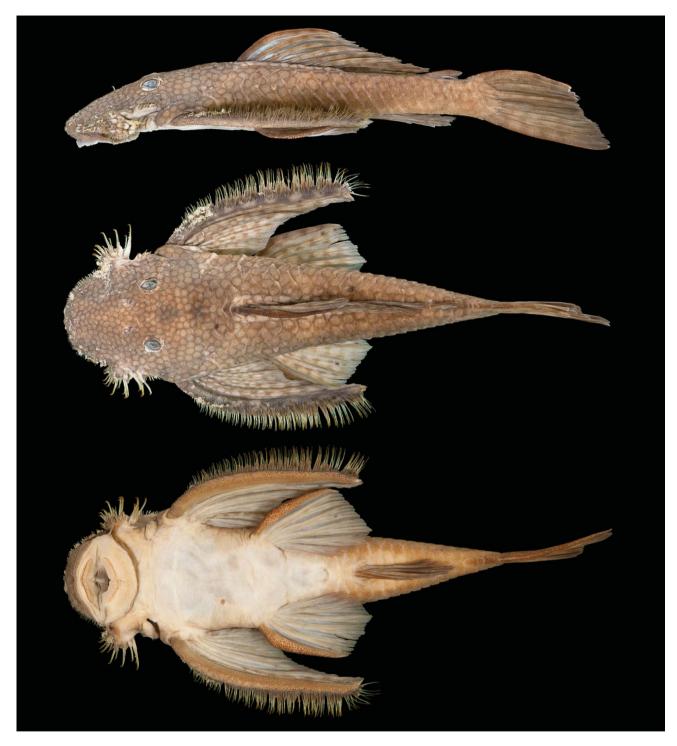


Figure 1. Pseudolithoxus kinja, holotype, 148.0 mm SL, INPA 3220; adult male in alcohol, rio Uatumã, Amazonas, Brazil.

depth greatest at supraoccipital. In lateral view, dorsal and ventral profiles slightly convergent caudally. Head large and moderately wide; snout rounded, covered by small plates, with large odontodes on anterior margin. Head and eyes without crests or carinae, mesethmoid ridge visible between nares and snout tip. First four lateral plates of mid-ventral series gently bent, rest of body without

carinae. Anterior portion of body half trapezoidal and oval at caudal peduncle in cross-section.

Head and trunk completely covered by plates dorsally, except dorsal-fin base depression and small gap between pterotic-supracleithrum and first plate of median series. Ventral surface unplated from snout to anal-fin origin. Preanal plate not present.



**Figure 2.** *Pseudolithoxus kinja*, paratype, 72.6 mm SL, INPA 43889; live colouration of juvenile, rio Nhamundá, Amazonas, Brazil.

Eye large (14.3–18.9% in HL), orbit oval, dorsally positioned at posterior third of head length; iris operculum present and developed. Orbit not elevated, interorbital area almost completely flat. Supraoccipital process inconspicuous, not elevated and triangular posteriorly. Supraoccipital process limited posteriorly by first pair of predorsal plates and posterolaterally by the first plate of the mid-dorsal series. Two pairs of predorsal plates plus one azygous nuchal plate. First predorsal pair large, roughly triangular, strongly sutured medially, sometimes fused.

Mouth and lips of moderate size; oral disc rounded; lips covered with small round papillae (larger on central portion of lower lip). Lower lip large but not reaching pectoral girdle. Maxillary barbel short, with large distal portion free from lower lip. Branchial opening small. Mandibular tooth row moderate in length; teeth thin, delicate and cuspidate. Cusps round, mesial cusp slightly larger than lateral cusp, with vertical divide between cusps; in smaller specimens cusps are largely asymmetrical with oblique divide between cusps. Premaxilla and dentary of similar size and orientated parallel to border of snout. Number of premaxillary teeth 47–81 (mean 61), dentary teeth 51–86 (mean 67). Small, internal buccal papilla present between premaxillae.

Five rows of lateral plates, three on caudal peduncle; dorsal and median plate rows complete, mid-dorsal and mid-ventral starting posterior to head and ending before caudal peduncle, ventral plates starting at pelvic-fin origin. Median plates 23–25 (mode 24, holotype 24). Fusion of median, mid-dorsal, and mid-ventral plates into a single median row occurs around plate 20–21. Body plate odontodes generally small, uniformly sized, and arranged in lines, but longer on ventral margin of opercle and on pectoral-fin spine. Hypertrophied opercular odontodes (range 29–49, holotype 43), numerous and long, and reaching posterior margin of opercle; fleshy and thick tissue covering the proximal half of opercular odontodes. Exposed part of opercle sickle-shaped; area above opercle covered by numerous small dermal plates.

Dorsal-fin origin slightly anterior to pelvic-fin origin. Dorsal-fin II,7; spinelet present and dorsal-fin locking mechanism developed. Dorsal fin long and low, but not reaching adipose-fin spine when adpressed; sometimes touching the azygous preadipose plate. Adipose fin of moderate size, with posterior membrane well developed. Caudal fin i,14,i, obliquely truncate or slightly emarginate, with ventral-lobe unbranched ray longer than dorsal-lobe

unbranched ray. Pectoral fin I,6, very large, reaching end of pelvic fin when adpressed. Pelvic fin i,5, large, reaching anal-fin origin when adpressed. Anal fin ii,4 (34, holotype included), i,5 (5), i,4 (1), and ii,2 (1). Branched rays of pelvic and anal fins slightly larger than first unbranched rays. All rays covered by numerous short odontodes on their free surface. Four to five dorsal procurrent caudal-fin rays and three to five ventral procurrent caudal-fin rays. Vertebrae: 28 (3).

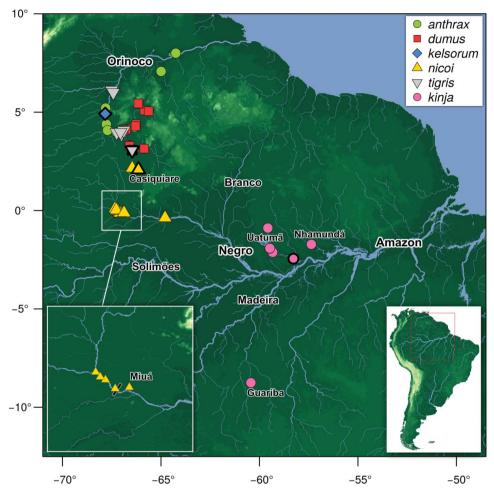
**DNA BARCODES**: DNA barcodes (mitochondrial cytochrome *c* oxidase subunit I; COI) for three paratypes from lot INPA 43889 from the rio Nhamundá were published by Collins, Ribeiro, Machado, Farias, and Hrbek (2015) under the name *Pseudolithoxus* sp. 'INPA 43888' and GenBank accession numbers KP772584, KP772590, and KP772591.

**COLOUR IN ALCOHOL**: Body and fins with yellowish pale spots on a brown to dark grey background. Spots increase in size posteriorly, being generally almost the same diameter as the pupil on the head, and as large as the orbit on the caudal peduncle. Ventral surface of body lighter, creamy. Caudal fin with dark bands and thin white distal band at tip.

**COLOUR IN LIFE**: Live colouration is similar to preserved, but with the pale spots appearing as a light olivegreen colour on a dark grey background (Fig. 2). Colouration gives the overall impression of dark reticulations.

SEXUAL DIMORPHISM: Young specimens and fully reproductive males have a urogenital papilla which is well-developed as a small elongate tube located immediately behind the anal opening. This small tube is almost perpendicular to the main plane of the body, facing ventrally with a tiny opening at the tip. The condition in females is similar to that found in other hypostomines (Ballen & Vari, 2012; Lujan, Meza-Vargas et al., 2015). In mature males with developed testes, the third branched pelvic-fin ray becomes elongated, and the whole fin becomes lanceolate. In the anal fin of mature males, the second simple ray becomes almost twice the length of the first short ray, and the first, second, and third branched rays also become elongate (the third the longest); owing to this fin-ray elongation in mature males, the whole anal fin becomes larger and has a strongly curved posterior border rather than the regular straight border usually seen in females or non-reproductive males.

**DISTRIBUTION**: The species is currently known from three clearwater rivers of the Brazilian and Guiana shields in Amazonas State, Brazil (Fig. 3): rio Uatumã, rio Nhamundá and rio Guariba (rio Madeira-Aripuanã drainage). The new species has been reported in the aquarium trade literature under the tag names *Pseudolithoxus* sp. 'L385' and *Pseudolithoxus* sp. 'L492', fishes



**Figure 3.** Map of the known distribution of *Pseudolithoxus* spp. based on museum records (http://www.gbif.org/; this study). Points with thick borders indicate holotype localities (*anthrax*, *dumus*, and *tigris* are superimposed). Lower left inset shows locations of molecular samples for the upper rio Negro with respect to the rapids at São Gabriel da Cachoeira (black bar) and the rio Miuá downstream, i.e., samples from above the rapids are referred to as *Pseudolithoxus nicoi* 'upper Negro', and those downstream as *Pseudolithoxus nicoi* 'Miuá'. http://www.gbif.org/

purportedly from the rio Trombetas and the rio Jauaperi, respectively (Dignall, 2018; Seidel & Evers, 2005).

ETYMOLOGY: 'Kinja', meaning the 'true people', is how the Waimiri-Atroari indigenous people refer to themselves. The Kinja people inhabit areas surrounding the rio Uatumã and part of the rio Negro in the states of Amazonas and Roraima, Brazil. The ethnic term 'Waimiri-Atroari' was adopted in the beginning of the 20th century. The epithet 'kinja' pays homage to this brave people who survived three attempts of genocide in the last century, and survive and thrive today in their protected area. Treated as a noun in apposition.

**ECOLOGICAL NOTES**: The rio Nhamundá material was collected in shallow (depth <1.5 m), fast-flowing water over a substrate of eroded bedrock. The fishes were living amongst narrow cracks between rocks, and were

abundant at the sampling site. However, this type of habitat was not frequently encountered on the lower Nhamundá, and the species was not found associated with more common woody-debris sites, or rocky sites lacking any appreciable water current. Water temperature and conductivity were  $28.3^{\circ}$ C and  $6~\mu$ S/cm. Syntopic fishes encountered during the night survey included: *Leporinus*, *Symphysodon*, *Cichla*, *Pimelodella*, *Tatia*, *Dekeyseria*, *Peckoltia*, and *Lasiancistrus*. *Pseudolithoxus kinja* was the dominant loricariid in this habitat.

The rio Uatumã, before the construction of the Balbina Dam, was a large, rocky-bottomed clearwater river with areas of strong currents. Nowadays, most of the formerly exposed rocky beds are submerged, and due to the release of tannins by vegetation drowned during filling of the reservoir, the reservoir and the river downstream of the reservoir transformed from a clearwater to blackwater river. Hence, localities

sampled in the rio Uatumã were either submerged or deeply modified after construction of the Balbina Dam in 1987–1988, and habitat specifics were not recorded during collections. Recent collections in the rio Uatumã drainage recovered *P. kinja* only from more pristine tributaries such as the rio Pitinga. However, water flow even across these more preserved waterfalls has been artificially controlled over the past 20 years by the upstream-located Paranapanema Dam owned by a Brazilian mining company.

**CONSERVATION STATUS**: We estimate that *Pseudolithoxus kinja* has lost  $\sim$ 25% of its historical distribution due to impoundment of the rio Uatumã by the Balbina Dam. The rio Pitinga, despite the water-control regime, still harbours the species. No appreciable threat to the quality of its natural habitat appears to exist on the rio Nhamundá and rio Guariba, but the extent of distribution in these rivers remains unclear (collections were only made from single locations). Thus, we suggest that *P. kinja* be classified as

LC (Least Concern) under the IUCN criteria of evaluation of conservation status (IUCN, 2012).

#### Phylogenetic results

The *Cytb* dataset comprised: 56 individuals; sequences of maximum length 1,131 bp (mean 1,047); missing data 7.4%; and parsimony informative sites 43.9% ( = 497). The *RAG1* dataset comprised: 55 individuals; sequences of maximum length 1,017 bp (mean 1,002); missing data 1.5%; and parsimony informative sites 17.5% (178). New sequence data have been submitted to GenBank under the accession numbers MG800702–MG800741 (*Cytb*) and MG800742–MG800780 (*RAG1*). Collection information, museum accession numbers, and GenBank accession numbers for all sequences used in this study are presented in Supplementary Table S2 (see supplemental material online).

Our species tree analyses (Fig. 4) indicate three main clades within *Pseudolithoxus*, comprising: (1) *P. tigris* 

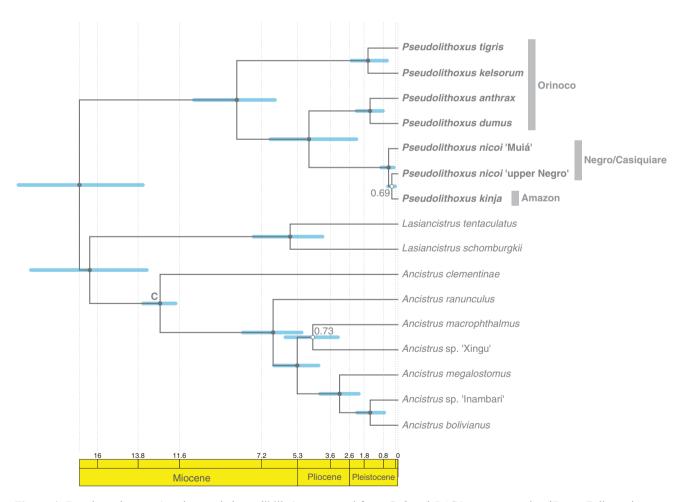


Figure 4. Dated species tree (maximum clade credibility) constructed from Cytb and RAGI sequences using \*Beast. Full matrix comprised 56 individuals. All filled nodes had  $\geq 0.95$  Bayesian posterior probability; values are shown for unfilled nodes with a lower value. Node bars correspond to the highest posterior density of node heights in millions of years. The geologically calibrated node (basal node of *Ancistrus*) is indicated by a 'C'.

<b>Table 2.</b> Molecular species delimitation results by gene ( <i>Cytb</i> and <i>RAG1</i> ) for the <i>Pseudolithoxus nicoi</i> clade using diagnostic
nucleotides (diag.), genealogical sorting indices (GSI), Rosenberg's reciprocal monophyly statistic (RRM), and Bayesian posterior
probabilities (BPP) in gene trees. Taxon abbreviations as follows: $NEG = P$ . $nicoi$ 'upper Negro'; $MIU = P$ . $nicoi$ 'Miuá'; and $KIN = P$ .
kinja.

Delimitation	No. spp.	Cytb diag.	RAG1 diag.	Cytb GSI (p GSI, p RRM)	RAG1 GSI (p GSI, p RRM)	Cytb BPP	RAG1 BPP
NEG	3	0	0	1 (0.0001, 0.0667)	0.4789 (0.0005, NA)	0.91	0
MIU	3	39	0	1 (0.0002, 0.05)	0.32 (0.0111, NA)	1	0
KIN	3	2	2	1 (0.0001, 0.0159)	1 (0.0001, 0.0476)	0.99	1
NEG+MIU	2	2	2	0.8712 (0.0001, NA)	1 (0.0001, 0.0182)	0	0.21
NEG+KIN	2	39	0	1 (0.0001, 0.0001)	0.5455 (0.0001, NA)	1	0

and P. kelsorum; (2) P. anthrax and P. dumus; and (3) P. nicoi and P. kinja. The nicoi+kinja clade was found to be sister taxon to anthrax+dumus, to the exclusion of tigris+kelsorum. All of these clades were strongly supported with Bayesian posterior probability (BPP) >0.95. The P. nicoi populations were not supported as monophyletic, with the new species found to be phylogenetically closer to the 'upper Negro' population, although this result was not well supported (BPP = 0.69). The alternative, monophyly of P. nicoi, was also poorly supported (BPP = 0.22).

In the gene tree analyses, each population was monophyletic in Cytb (gsi = 1; BPPs >0.91), with  $P.\ nicoi$  'upper Negro' sister to  $P.\ kinja$  on a short branch (BPP = 1), with  $P.\ nicoi$  'Miuá' sister to both, on a longer branch (Fig. S1) (see supplemental material online); uncorrected p-distance between  $P.\ nicoi$  'upper Negro' and  $P.\ nicoi$  'Miuá' was 0.0396. In the RAG1 gene tree (Fig. S2; Table 2),  $P.\ kinja$  formed a monophyletic group (BPP = 1) sister to  $P.\ nicoi$ , with both  $P.\ nicoi$  populations forming partially exclusive groups of phased haplotypes with low posterior probabilities and genealogical sorting indices (Table 2). The  $P.\ nicoi$  (both groups) phased haplotypes were not monophyletic, with one sistergroup to  $P.\ kinja$  (BPP = 0.35), although the gsi analysis of unphased sequences reported monophyly (gsi = 1).

#### Clade age estimates

Our dated species tree indicates that the basal split in *Pseudolithoxus – tigris+kelsorum* sister to all other *Pseudolithoxus –* occurred in the Miocene at around 8.5 Ma, with the highest posterior density (HPD) encompassing 6.54–10.85 Ma. This was followed by a subsequent split between *anthrax+dumus* and *nicoi+kinja* in the Pliocene at around 4.7 Ma (HPD = 2.21–6.79). Divergences between *P. tigris* and *P. kelsorum*, and *P. anthrax* and *P. dumus* occurred in the Pleistocene at 1.6 Ma (HPD = 0.58–2.48) and 1.5 Ma (HPD = 0.8–2.21) respectively. The *P. nicoi* clade split initially at around 0.5 Ma (HPD = 0.22–0.9), with the ancestor of *P. kinja* dated to around 0.3 Ma (HPD = 0.15–0.53). Mean molecular clock rate

(substitutions per site per million years) for Cytb was 0.01 (HPD = 0.008–0.012), and 0.00059 for RAGI (HPD = 0.00044–0.00076).

# Species delimitation in the *Pseudolithoxus nicoi* clade

The analysis of marginal likelihoods (Table 1) using Bayes factors (2lnBf) indicated that the highest ranked species delimitation model was the three species model m1 ( $P.\ nicoi$  'Muiá',  $P.\ nicoi$  'upper Negro', and  $P.\ kinja$  all considered distinct; 2lnBf = 0). The model (m3) with the next best statistical support was for two species comprising  $P.\ kinja$  and  $P.\ nicoi$  (both populations), with a 2lnBf of 12.76. Alternative hypotheses (m0, m2) of either one species (all) or two species ( $P.\ kinja + P.\ nicoi$  'upper Negro') received less support ( $2lnBf = 64.\ 42$ , and 38.76 respectively). The difference in Bayes factor between the two two-species models (m2 and m3) was 26.0.

#### Discussion

Our well-supported species tree results reject the hypothesis that the rise of the Uaupés Arch is the sole factor explaining the distribution of *Pseudolithoxus*, and point to a more complex model including historical dispersal between the Amazon and Orinoco drainages. While the basal split in the genus was found to be consistent with the rise of the Uaupés Arch, we found that the Orinoco species did not represent a monophyletic group, with P. anthrax and P. dumus phylogenetically closer to P. nicoi and P. kinja. Therefore, while it is possible that a vicariant event did take place at this time, it would require a Pliocene secondary colonization of the Orinoco by the ancestor of P. anthrax and P. dumus via palaeo-connections between the Orinoco and Negro drainages that would have been formed once valleys on either side of the Uaupés Arch filled with sediments (Winemiller & Willis, 2011). An alternative model would be that the basal split was not caused by uplift of the Uaupés Arch, but that the upper rio Negro basin was more recently colonized via palaeo-connections by the ancestor of P. nicoi and P. kinja, and was then followed by more recent dispersal down into the Amazon system (P. kinja) and up into the río Casiquiare (P. nicoi). This alternative model would be further supported if the monotypic Orinoco genus Soromonichthys was found to be a Pseudolithoxus, as suggested by molecular analyses recovering it as sister taxon to P. nicoi (Lujan, Armbruster et al., 2015, exploratory analyses in this study). We did not present Soromonichthys as a Pseudolithoxus here due to its controversial phylogenetic position – interpretation of morphological data does not appear to support its inclusion in *Pseudolithoxus* as currently defined - and so until this is resolved in a detailed review of morphological and molecular evidence supporting its phylogenetic position, we hesitate to place too much emphasis on the biogeographic implications. Regarding the near identical divergences at around 1.6 Ma between P. tigris and P. kelsorum, and P. anthrax and P. dumus, we do not know of an event in the history of the upper Orinoco that may have caused this, but the almost identical ages of these clades indicates a common factor.

It is important to emphasize that estimates of clade ages and our interpretations make several implicit assumptions (e.g., Duchêne, Lanfear, & Ho, 2014; Ho & Duchêne, 2014; Lovejoy et al., 2010), including but not limited to: (1) that there are no undiscovered or extinct major lineages in the genus; (2) that our calibration using *Ancistrus* is biologically – the rise of the Andes caused a vicariance event – and geologically – age estimations of the tectonic events – plausible; and that (3) our molecular clock model is not mis-specified. We report, however, that the *Pseudolithoxus* clock rate of 1% for *Cytb* is broadly consistent with approximate rates for this gene in other fish taxa (e.g., 0.74–0.92%; Rüber, Britz, Kullander, & Zardoya, 2004).

We show that Pseudolithoxus kinja occupies an apical position in the phylogeny, being nested within P. nicoi in some of the posterior trees, and the divergence being dated to the middle Pleistocene at around 0.3 Ma. This position and timing of diversification supports the hypothesis of ancestral dispersal down the rio Negro during the Pleistocene. During repeated glacial maxima, low-sea-level events and hydrological incisions into the landscape during the Quaternary (Irion, Müller, Nunes de Mello, & Junk, 1995), more extensive reaches of the rio Negro would likely have been rapids or shallower and faster flowing, possibly creating conditions more suitable for dispersal of rheophilic species such as Pseudolithoxus, which are likely to be impeded by large stretches of slow-moving water (de Souza da Costa e Silva, Roxo, Britzke, & Oliveira, 2014; Wesselingh & Hoorn, 2011). The apparently disjunct presence of the species in the Madeira-Aripuana drainage to the south may be explained by the mouth of the Aripuana being historically closer to the mouths of the Negro, Nhamundá, and Uatumã rivers before the capture of the

Aripuanã by the Madeira at around 2 Ma (Muniz *et al.*, in press), following the rise of the Fitzcarrald Arch in the Pliocene after around 4 Ma (Espurt et al., 2010). A similar pattern to *Pseudolithoxus* was observed in *Cichla monoculus*, with Casiquiare, Negro, and Madeira haplotypes grouping together (Willis et al., 2010), and possibly indicating a common signal of dispersal.

All Pseudolithoxus species currently inhabit clearwater and blackwater habitats, so it is assumed that a large stretch of whitewater such as the Madeira would be a formidable barrier, but a pre-river-capture dispersal event seems unlikely given that results of the dated phylogeny suggest that divergence took place much more recently in the late Pleistocene. Therefore, it is possible that during glacial periods with lower rainfall in South America (Cheng et al., 2013) that the Madeira carried less sediment and was more hospitable to these fishes. This latter hypothesis is supported by reports of a black-with-whitespots Pseudolithoxus from the clearwater rio Guaporé (upper Madeira drainage) near the Brazil-Bolivia border by Seidel and Evers (2005), indicating several headwaters of the Brazilian Shield were possibly colonized via the Madeira. This record currently awaits confirmation.

Our genetic sampling of P. nicoi from five locations on the upper rio Negro and Casiquiare indicate that P. nicoi comprises at least two mtDNA-divergent populations: one from the rapids at São Gabriel da Cachoeira and upstream including the Uaupés mouth and the Casiquiare, and another from downstream of these rapids, in the rio Miuá. This Miuá population was  $\sim$ 4% divergent in *Cytb*, but showed little differentiation in RAG1 nDNA. It is peculiar that this apparent phylogeographic break in the species occurs over a small distance of less than 30 km, and while these locations are separated by a significant set of rapids at São Gabriel da Cachoeira – a potent dispersal barrier to slow water species such as the cichlid Symphysodon discus (Farias & Hrbek, 2008) – rheophilic loricariids that live in such environments should not be impeded. This kind of phylogeographic break has also been observed in non-rheophilic needlefishes (Potamorrhaphis; Lovejoy & de Araújo, 2000) and peacock bass (Cichla; Willis, Nunes, Montaña, Farias, & Lovejoy, 2007). Several studies of small floodplain characiform fishes in the rio Negro (e.g., Cooke, Chao, & Beheregaray, 2009; Piggott, Chao, & Beheregaray, 2011; Schneider, Gross, Terencio, & Porto, 2012; Sistrom, Chao, & Beheregaray, 2009; Terencio, Schneider, & Porto, 2012) have also found multiple lineages that are consistent with historical population fragmentation following Miocene marine incursions. We believe it likely, therefore, that this mitonuclear discordance was caused by genetic drift after a small population was isolated following environmental change, and insufficient time having elapsed for the single nDNA marker to sort and reach reciprocal monophyly (Edwards & Bensch, 2009; Zink & Barrowclough, 2008).

To examine how our phylogeographic results influenced our taxonomic interpretations, we tested hypotheses of different numbers of Pseudolithoxus species using Bayes factors (see Kass & Raftery, 1995, for interpretation of Bayes factors). The most favourable model was that of three species (m1), but while support for this model was 'positive' (Table 1), it was not overwhelmingly superior to the two species model m3 comprising a monophyletic P. nicoi (2lnBf = 12.76). Furthermore, support for m3 was considered 'strong' when compared with the one species model (m0) at 2lnBf = 51.67. In light of this, as well as the lack of morphologically distinctive features, the low posterior probabilities in the species tree, and the lack of diagnostic nucleotides in nuclear RAG1 for P. nicoi 'Miuá', we prefer to consider this group as a population of P. nicoi. Together with further geological evidence, studies including population genomic techniques are required on a wider range of both rheophilic and floodplain taxa to formulate better models of the biotic history of the Negro basin.

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#### Disclosure statement

No potential conflict of interest was reported by the authors.

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## Supplemental data

Supplemental data for this article can be accessed http://dx.doi.org/10.1080/14772000.2018.1468362.

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